# Absence: Electoral Cycles and Teacher Absenteeism in India

Emmerich Davies Harvard Graduate School of Education 24 June 2022

RISE Annual Conference 2022 23-24 June 2022 University of Oxford, Oxford, U.K.

# Introduction

# The Problem of Absenteeism

### The Problem of Absenteeism

• Public sector workers are often absent...

# **Absenteeism**

#### **Absenteeism**

 Chaudhury et al. (2005) found that 19 percent of teachers and 35 percent of health workers were absent across various low- and middle-income countries.

#### **Absenteeism**

- Chaudhury et al. (2005) found that 19 percent of teachers and 35 percent of health workers were absent across various low- and middle-income countries.
- Within India, absence varied from 15 percent in Maharashtra to 42 percent in Jharkhand (Kremer et al. 2005)

#### The Problem of Absenteeism

- Public sector workers are often absent...
- ...and when present are rarely teaching.

# **Low Effort**

#### **Low Effort**

 Chaudhury et al. (2005) found that of those present, only 50 percent of teachers were teaching when random audits were conducted.

#### The Problem of Absenteeism

- Public sector workers are often absent...
- ...and when present are rarely teaching.
- And it is expensive: \$1.5 Billion/year

 Government school teachers in India are more likely to be at work the year before elections

- Government school teachers in India are more likely to be at work the year before elections
- 2. Leads to improvements in test scores the following year

- 1. Government school teachers in India are more likely to be at work the year before elections
- 2. Leads to improvements in test scores the following year
- 3. Recovers some of the money lost to absenteeism

**Empirical Puzzle: Absence &** 

**Electoral Cycles** 

# **Empirical Puzzle**

There is strong evidence of political interference in and of the bureaucracy generally and teacher labour force specifically (Wade 1985, Beteille 2016, Callen et al. 2017). Ex ante unclear whether...

# **Empirical Puzzle**

There is strong evidence of political interference in and of the bureaucracy generally and teacher labour force specifically (Wade 1985, Beteille 2016, Callen et al. 2017). Ex ante unclear whether...

 ...absence would be higher when political attention is likely to be higher

# **Increased Absence?**

#### **Increased Absence?**

 Teachers work as election monitors, campaign for political parties (Beteille 2016; Larreguy, Montiel Olea, & Querubin, 2017)

#### **Increased Absence?**

- Teachers work as election monitors, campaign for political parties (Beteille 2016; Larreguy, Montiel Olea, & Querubin, 2017)
- Independently campaign for their preferred candidates (Kingdon & Muzammil 2001)

# **Empirical Puzzle**

There is strong evidence of political interference in and of the bureaucracy generally and teacher labour force specifically (Wade 1985, Beteille 2016, Callen et al. 2017). Ex ante unclear whether...

- ...absence would be higher when political attention is likely to be higher
- 2. or lower?

# **Decreased Absence?**

## **Decreased Absence?**

 Increased monitoring by politicians at election time (Callen et al. 2017)

#### **Decreased Absence?**

- Increased monitoring by politicians at election time (Callen et al. 2017)
- Increased monitoring by mid-level bureaucrats reduced absence (Chaudhury et al. 2005)

# **Empirical Puzzle**

There is strong evidence of political interference in and of the bureaucracy generally and teacher labour force specifically (Wade 1985, Beteille 2016, Callen et al. 2017). Ex ante unclear whether...

- ...absence would be higher when political attention is likely to be higher
- 2. or lower?

What explains variations in absence across time and space and incentives to monitor front-line functionaries?

• Teachers are embedded in larger networks of political accountability. They both:

- Teachers are embedded in larger networks of political accountability. They both:
  - 1. Respond to political pressures from their principals in a principal-agent relationship...

- Teachers are embedded in larger networks of political accountability. They both:
  - 1. Respond to political pressures from their principals in a principal-agent relationship...
  - 2. And are independent political agents of their own accord.

#### **Political Control of Teachers**

I show that politicians can exert strong control over teachers when they are incentivized to do so, and this has spillovers for service provision quality.

**Data & Methods** 

 Self-reported data on school infrastructure, enrollment, staffing for universe of government schools and large private school in India.

- Self-reported data on school infrastructure, enrollment, staffing for universe of government schools and large private school in India.
- Geographic location & teacher attendance.

- Self-reported data on school infrastructure, enrollment, staffing for universe of government schools and large private school in India.
- Geographic location & teacher attendance.
- 1,300,000 government and 525,000 private schools in data from 2006 to 2018 academic years.

# **School Report Cards**

- Self-reported data on school infrastructure, enrollment, staffing for universe of government schools and large private school in India.
- Geographic location & teacher attendance.
- 1,300,000 government and 525,000 private schools in data from 2006 to 2018 academic years.
- 10,000,000 and 3,000,000 school-year observations respectively.

# **Electoral Data**

## **Electoral Data**

 Electoral results for every state-level election from 2001 to 2021

# **Geo-Referencing Schools**

# **Geo-Referencing Schools**

• Match locations of schools to electoral constituencies

# **Geo-Referencing Schools**

- Match locations of schools to electoral constituencies
- Have been able to match approximately 85 percent of schools across India.

# **Results**

Leverage the staggered nature of Indian elections in a repeated event study design:

Leverage the staggered nature of Indian elections in a repeated event study design:

$$Y_{i,t} = \sum_{j \in -2,2} \alpha_j m_{j,i,t} + \beta_1 y_{i,t-1} + Z_{i,t} + \gamma_i + \zeta_t + \mu_{i,t,d}$$

Leverage the staggered nature of Indian elections in a repeated event study design:

$$Y_{i,t} = \sum_{j \in -2,2} \alpha_j m_{j,i,t} + \beta_1 y_{i,t-1} + Z_{i,t} + \gamma_i + \zeta_t + \mu_{i,t,d}$$

• *i* identifies school

Leverage the staggered nature of Indian elections in a repeated event study design:

$$Y_{i,t} = \sum_{j \in -2,2} \alpha_j m_{j,i,t} + \beta_1 y_{i,t-1} + Z_{i,t} + \gamma_i + \zeta_t + \mu_{i,t,d}$$

- *i* identifies school
- t represents year

Leverage the staggered nature of Indian elections in a repeated event study design:

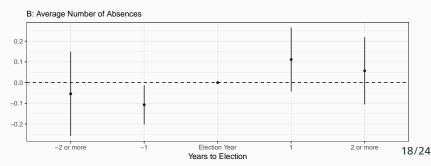
$$Y_{i,t} = \sum_{j \in -2,2} \alpha_j m_{j,i,t} + \beta_1 y_{i,t-1} + Z_{i,t} + \gamma_i + \zeta_t + \mu_{i,t,d}$$

- *i* identifies school
- t represents year
- $m_{j,i,t}$  is an indicator variable that equals one when school i is j years away from the state election.

# **Electoral Cycles and Absenteeism**

# **Electoral Cycles and Absenteeism**





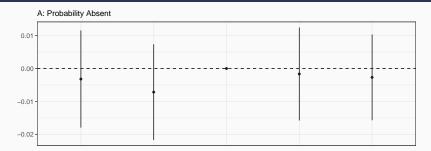
• Repeat the same analysis for absence in private schools

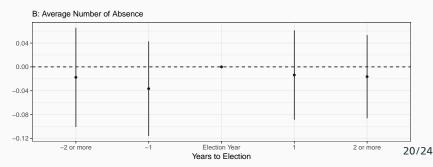
- Repeat the same analysis for absence in private schools
- Definitely undercount of private schools, but those most likely to have political pressures are included.

- Repeat the same analysis for absence in private schools
- Definitely undercount of private schools, but those most likely to have political pressures are included.
- If results are spurious, should seem similar electoral cycle in private sector.

# **No Electoral Cycle in Private Schools**

# **No Electoral Cycle in Private Schools**





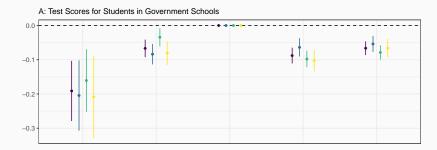
• **Mechanisms**: Fewer competitive parties in constituency result in *greater decrease* in absenteeism

- Mechanisms: Fewer competitive parties in constituency result in greater decrease in absenteeism
- Mechanisms: Electoral competitiveness and match between ruling party at state and constituency level do not lead to reduced absenteeism.

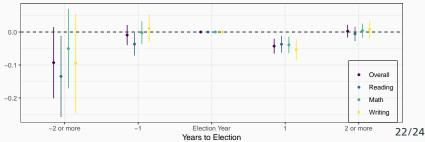
- Mechanisms: Fewer competitive parties in constituency result in greater decrease in absenteeism
- Mechanisms: Electoral competitiveness and match between ruling party at state and constituency level do not lead to reduced absenteeism.
- Data Concerns: Replicate analysis with separate cross-national independently collected audits from the Indian Human Development Survey (IHDS) and find similar rates of absenteeism.

# **Decreased Absenteeism Improves Service Quality...**

# **Decreased Absenteeism Improves Service Quality...**







# ...And Saves Money

# ...And Saves Money

 Depending on your preferred specification, results in a fiscal recovery between \$36,080,163 and \$75,703,081

• Teachers are far more likely to be present in elections years than in years far from an election.

- Teachers are far more likely to be present in elections years than in years far from an election.
- Suggestive evidence that when it is easier for teachers and political parties to strike bargains, absence will be reduced

- Teachers are far more likely to be present in elections years than in years far from an election.
- Suggestive evidence that when it is easier for teachers and political parties to strike bargains, absence will be reduced
- Question for policy is how to extend these incentives over the entire electoral cycle?

## **Thank You**

# **Emmerich Davies**

emmerich\_davies\_escobar@gse.harvard.edu scholar.harvard.edu/emda @eeemda

https://www.emmerichdavies.com/files/Davies, Emmerich - Absence.pdf